# Optimal Power Allocation for Non-Regenerative Multicarrier Relay-Assisted PLC Systems with QoS Constraints

Xiaolin Wu Department of Electrical and Computer Engineering Curtin University of Technology Perth, WA 6102, Australia Email:xiaolin.wu@curtin.edu.au

*Abstract*—In this paper we present an iterative algorithm to jointly optimize the source and relay power allocations for a general relay transmission system, i.e. the broadcast-andmultiaccess (BMA) multicarrier relay system, where the source transmits in two time-slots. Under the indoor power line communication environment, we examine the issue of minimization of the total network transmission power subjecting to QoS constraints expressed as the capacity lower-bound of the data-link from the source to the destination nodes. In addition to demonstrate the fast convergence of the proposed algorithm, the simulation results also show that with respect to the two-hop relay system and the broadcast-and-forward (BF) relay system, the proposed general relay system can satisfy the same QoS requirement while consume less total transmission power.

*Index Terms*—Power Line Communications (PLC), multicarrier relay, time varying channel, three-node system, nonregenerative relay, QoS.

#### I. INTRODUCTION

Indoor power line communication (PLC) technology has received much research attention. In addition to electricity delivery, the indoor power cables are used as medium, at the meantime, to support local area networks (LAN). However, as the indoor power cables are not manufactured for high frequency (HF) signal transmission purpose, indoor PLC channels have demonstrated hostile characteristics for broadband communications. Due to the similar broadcasting nature between the wireless signal propagation and the power-cable guided signal transmission, some advanced relay schemes can be readily introduced into PLC systems. However, [1] pointed out a notable difference between PLC relay channels and the wireless relay systems. In wireless systems the sourceto-destination, source-to-relay and relay-to-destination paths can be considered as independent to each other so that the spatial diversity gain can be achieved. On the other hand, in PLC environment these paths are highly correlated, as they share the same power cable grid all the time. The authors of [2] have investigated the optimal time-slot duration allocation between the direct transmission phase and the relay phase, when there is only one relay node. Under the assumption that each outlet on the power grid is a potential relay node, [3]

Yue Rong Department of Electrical and Computer Engineering Curtin University of Technology Perth, WA 6102, Australia Email:Y.Rong@curtin.edu.au

proposed a multi-hop transmission scheme combined with the application of distributed space-time block code (DSTBC) in PLC networks.

Depending on the signal processing type used in the relay node, relay schemes can be classified into two groups, namely regenerative and non-regenerative relay systems. As the nonregenerative scheme only requires the relay node amplify-andforward (AF) signals, it has lower complexity, shorter processing delay and lower implementation cost. In [4] algorithms have been developed to maximize the relay system throughput by assuming that the relay works in non-regenerative mode and the powers at the source node and the relay node can be distributed over multiple sub-carriers. The communication process is completed in two successive phases. In the first phase, the source node transmits signal to the relay node. In the second phase, the relay node amplifies each sub-carrier component of the signal received from the source node, and forward the amplified signals to the destination node. We call this two-hop relay scheme as there is no direct path between the source and destination nodes. The effect of the direct link has been considered in [5], [6], where in the first phase the source broadcasts signal to the relay and destination nodes. In the second phase, the relay forwards its received signal to the destination. As a result, the source node is always silent during the second phase. We call this broadcast-andforward (BF) relay scheme. In [7] the authors took another step that they allow the source to transmit in both the first and second phases. In other words, when the relay node forwards its received signal, the source repeat a transmission of the same information (as in the first phase) to the destination in the second phase. We refer this as broadcast-and-multiaccess (BMA) relay scheme. As a result of this configuration, the total network transmission power has been separated into relay power and source power, which in turn has been split into two part (corresponding to two phases) for transmitting the same information twice. Obviously this is a very general case comparing with the above two-hop and BF schemes.

In the conventional direct transmission (DT) system, the rate

maximization (RM) and margin maximization (MM) problems are of duality to each other and admit a unique water-filling solution [8]. However this fact does not hold when relay node has been introduced. The aim of [4], [5], [6], [7] is to optimize a given objective function, usually expressed as signal-to-noise ratio (SNR), mutual information (MI) or system capacity, subjecting to the power constraints of the whole network or/and at each node. On the other hand, the quality-of-service (QoS) constraints are not addressed. Note that in practical indoor PLC applications, such as HD Video Streaming, QoS criteria is very important as they greatly affect the user experience.

In this paper we address the joint optimization of source and relay power allocation to minimize the total network consuming power subjecting to QoS constraints, which has not been considered before. We set the QoS criteria as the lower-bound of the capacity of the data-link from the source to the destination node. Since the QoS-constrained power allocation problem is highly non-convex, the globally optimal solution is computationally intractable to obtain. To overcome this challenge, we propose an alternating optimization (AO) method adapted from [9] to decompose the joint optimization problem into three sub-problems. Simulation results show the fast convergence and short delay of the proposed algorithm.

The remainder of this paper is organized as follows. Section II describes in detail the system model and problem formulation. The decomposed three sub-problems from Section II have been fully discussed in Sections III, IV and V, respectively. Based on these, the overall AO algorithm has been presented in Section VI. Simulation examples are given in Section VII to demonstrate the fast convergence and superior performance of the proposed algorithm. Finally, conclusions are given in Section VIII.

# II. SYSTEM MODEL AND PROBLEM FORMULATION

The block diagram of the three-node relay system is shown in Fig. 1, which consists of three nodes, i.e. the source node (S), the destination node (D) and the relay node (R). Under a practical topology of indoor power lines this arrangement, every pair of outlets/sockets can be employed as a point-topoint communication system, and its corresponding channel transfer function  $H_{SD}$  is characterized by the wiring topology and load impedance between the transceivers. Let us refer to the shortest link between the source and destination nodes as the main path, while other wirings are as treated as tapbrunches attached to the main path, which contribute to the multi-path fading effect of the channel. Any outlet located on the main path or on a brunch of the main path can be chosen to deploy the relay node. Let us also denote the transfer function of the channel transfer function of source-to-relay link and relay-to-destination link as  $H_{SR}$  and  $H_{RD}$ , respectively. If the relay node has been chosen on the main path, then from the relay node's point of view the whole direct channel has been separated into two parts, thus we can write [1]



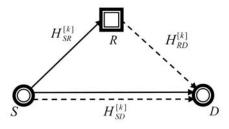


Fig. 1: A multicarrier three-node relay system, where the solidlines and dash-lines indicate phase 1 and 2, respectively. S, Rand D stand for source node, relay node and destination node respectively. k = 1, ..., K is the index of sub-carriers.

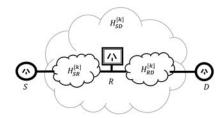


Fig. 2: Channel separation from a relay node's point of view.

This relation has been illustrated in Fig. 2. However, we mention that if the relay node is chosen on a tap-brunch, the relation in (1) does not hold in general.

We consider an orthogonal frequency-division multiplexing (OFDM) based multicarrier system. The whole system bandwidth is divided uniformly into K subcarriers, where the channel fading on each subcarrier is considered to be frequency-flat, i.e. it can be described as a channel coefficient. The channel response on the kth  $(k = 1, 2, \ldots K)$  subcarrier from node  $L_1$  to node  $L_2$  is denoted as  $H_{L_1L_2}^{[k]}$ , where  $L_1 \in \{S, R\}$  and  $L_2 \in \{R, D\}$ . Let us denote the transmit power on the kth subcarrier from the source node as  $P_S^{[k]}$ , and from the relay node as  $P_R^{[k]}$ . Furthermore, to distinguish the source power used in the first and second phase, we denote them as  $P_{S,1}^{[k]}$  and  $P_{S,2}^{[k]}$  respectively, i.e.  $P_S^{[k]} = P_{S,1}^{[k]} + P_{S,2}^{[k]}$ . Thus, the total network power  $P_{\Sigma}$  can be expressed as

$$P_{\Sigma} = \sum_{k=1}^{K} P_{S}^{[k]} + \sum_{k=1}^{K} P_{R}^{[k]}$$
$$= \sum_{k=1}^{K} P_{S,1}^{[k]} + \sum_{k=1}^{K} P_{S,2}^{[k]} + \sum_{k=1}^{K} P_{R}^{[k]}.$$
(2)

Following OFDM principle, corresponding to the K subcarriers, each packet of information is encoded into K independent complex symbols  $X^{[k]}$  (k = 1, 2, ..., K), of zero mean and unit variance. To complete a packet of information transmission from the source to the destination, the broadcast-and-multiaccess relay scheme works as the following two phases.

In the first phase, the source node broadcasts information signal  $X^{[k]}$  (k = 1, 2, ..., K) over the kth subcarrier with the power  $P_{S,1}^{[k]}$ . Due to the broadcast nature of power line grids, both the relay and the destination can receive this signal with different channel gain and noise disturbances respectively as

$$Y_{R,1}^{[k]} = H_{SR}^{[k]} \sqrt{P_{S,1}^{[k]}} X^{[k]} + N_{R,1}^{[k]}$$
(3)

$$Y_{D,1}^{[k]} = H_{SD}^{[k]} \sqrt{P_{S,1}^{[k]}} X^{[k]} + N_{D,1}^{[k]}$$
(4)

where  $Y_{L_2,n}^{[k]}$  and  $N_{L_2,n}^{[k]}$  respectively denote the received signal and noise at the node  $L_2(L_2 \in \{R, D\})$  in the *n*th (n = 1 or 2) phase.

In the second phase, the relay amplifies its received signals on each sub-carrier, i.e.  $Y_{R,1}^{[k]}$ , with proper complex gain  $g^{[k]} \exp(j\theta^{[k]})$ , where  $g^{[k]}$  is the amplitude gain and  $\theta^{[k]}$  is the phase shift, and then forwards the amplified signals to the destination with power  $P_R^{[k]}$ . At the meantime, the source node sends another identical message packet out, this time with power  $P_{S,2}^{[k]}$ . Then the received signal at the destination node in the second phase is

$$Y_{D,2}^{[k]} = H_{RD}^{[k]} g^{[k]} \exp(j\theta^{[k]}) Y_{R,1}^{[k]} + H_{SD}^{[k]} \sqrt{P_{S,2}^{[k]}} X^{[k]} + N_{D,2}^{[k]}$$
(5)

where

3

$$g^{[k]} = \sqrt{\frac{P_R^{[k]}}{P_{S,1}^{[k]} |H_{SR}^{[k]}|^2 + W_R^{[k]}}}$$
(6)

$$\theta^{[k]} = \angle H_{SD}^{[k]} - \angle H_{SR}^{[k]} - \angle H_{RD}^{[k]}.$$
(7)

Here we used  $W_{L_2}^{[k]}$  to denote the power of noise  $N_{L_2,n}^{[k]}$  (n = 1 or 2) in (6), and  $\angle(\cdot)$  stands for the angle of a complex number in (7).

Finally, the destination combines the two copies of received signal (4) and (5) over two phases by maximum ratio combination (MRC) processing with the knowledge of channel state information (CSI). By denoting the SNR of the *k*th subcarrier at the destination in the *n*th phase as  $SNR_{D,n}^{[k]}$ , from (3)-(7) we have

$$SNR_{D,1}^{[k]} = P_{S,1}^{[k]} \gamma_{SD}^{[k]}$$
(8)

$$SNR_{D,2}^{[k]} = \frac{\left(\sqrt{P_{S,1}^{[k]}\gamma_{SR}^{[k]}P_{R}^{[k]}\gamma_{RD}^{[k]} + \sqrt{P_{S,2}^{[k]}\gamma_{SD}^{[k]}\left(1 + P_{S,1}^{[k]}\gamma_{SR}^{[k]}\right)}}{1 + P_{S,1}^{[k]}\gamma_{SR}^{[k]} + P_{R}^{[k]}\gamma_{RD}^{[k]}}\right)}(9)$$

where the normalized gain of the channel from  $L_1$  to  $L_2$  is introduced as  $\gamma_{L_1L_2}^{[k]} = \frac{|H_{L_1L_2}^{[k]}|^2}{W_{L_2}^{[k]}}$ . Thus, we obtain the capacity (in bit/sec/Hz) of the data-link from S to D as

$$C = \frac{1}{2} \sum_{k=1}^{K} \log_2 \left( 1 + SNR_{D,1}^{[k]} + SNR_{D,2}^{[k]} \right)$$
(10)

where the factor 1/2 reflects the half-duplex constraint of the relay node.

We note that (10) is the capacity of a general two-phase relay system, which includes the following special cases: (a) If

 $\gamma_{SD}^{[k]} = 0$ , the system becomes a two-hop relay system without the direct link. In PLC scenario this usually happens when the cable length between the source and the destination outlets is very far. (b) If  $P_{S,2}^{[k]} = 0$ , it means the system becomes a broadcast-and-forward relay system as we mentioned in Section I. (c) Interestingly, if  $P_R^{[k]} = 0$ , it means the relay node is not active, then the system become a *two-phase direct transmission system*, where the source transmits the same information packet twice independently in two phases to achieve time-diversity. (d) Especially, if  $P_R^{[k]} = 0$  and  $P_{S,2}^{[k]} = 0$ , the scheme degrades to a conventional direct transmission (DT) system<sup>1</sup>. Without losing generality, we assume all  $\gamma_{L_1L_2}^{[k]} > 0$  in the following.

With the QoS criteria as the lower-bound of the system capacity, to explore the most efficient utilization of the system power we propose the following optimization problem

$$\min_{\substack{P_{S,1}^{[k]}, P_{S,2}^{[k]}, P_R^{[k]}}} P_{\Sigma} \tag{11}$$

$$s.t. C \ge q, (12)$$

$$P_{S,1}^{[k]}, P_{S,2}^{[k]}, P_R^{[k]} \ge 0, \forall k$$
(13)

where (11) is the objective function of the total network transmission power, and  $q \ge 0$  is the required minimum link capacity to support certain applications. We can also define the averaged subchannel capacity as  $\frac{C}{K}$ , so that capacity constraint (12) can be equally expressed as

$$\frac{C}{K} \ge q' \tag{14}$$

where  $q' = \frac{q}{K}$  is the requirement of average capacity on each subcarrier.

The exact solution to problem (11)-(13) is difficult to find because the system minimum capacity constraint in (12) is non-convex. In this paper, we provide a locally optimal solution by adopting the AO approach from [9], where we firstly optimize  $P_R^{[k]}$  with given  $P_{S,1}^{[k]}$  and  $P_{S,2}^{[k]}(k = 1, 2, ..., K)$ , then optimize  $P_{S,1}^{[k]}$  with given  $P_{S,2}^{[k]}$  and previously optimized  $P_R^{[k]}$ , and next we optimize  $P_{S,2}^{[k]}$  with previously obtained  $P_{S,1}^{[k]}$  and  $P_R^{[k]}$ . This process is repeated until convergence, i.e. the difference between the  $P_{\Sigma}$  obtained in two consecutive iterations is less than a preset threshold. For any two groups of fixed power allocation parameters, the resulted sub-problem becomes convex. Based on the method, we will develop the overall algorithm to solve the problem (11)-(13). we discuss these issues in detail from Section III to Section VI.

<sup>&</sup>lt;sup>1</sup>In this case, the relay's half-duplex factor 1/2 does not exist.

## III. OPTIMAL RELAY POWER ALLOCATION WHEN GIVEN SOURCE POWER ALLOCATIONS

For fixed  $P_{S,1}^{[k]}$  and  $P_{S,2}^{[k]}$  (k = 1, 2, ..., K), problem (11)-(13) becomes

$$\min_{P_R^{[k]}} \sum_{k=1}^{K} P_R^{[k]}$$
(15)

s.t. 
$$\frac{1}{2} \sum_{k=1}^{K} \log_2 \left( a_k + \frac{b_k}{d_k P_R^{[k]} + c_k} \right) \ge q$$
 (16)  
 $P_R^{[k]} > 0, \forall k$  (17)

where

$$a_{k} = 1 + P_{S,1}^{[k]} \gamma_{SD}^{[k]} + P_{S,1}^{[k]} \gamma_{SR}^{[k]}$$

$$b_{k} = \left(1 + P_{S,1}^{[k]} \gamma_{SR}^{[k]}\right) \left(P_{S,2}^{[k]} \gamma_{SD}^{[k]} - P_{S,1}^{[k]} \gamma_{SR}^{[k]}\right)$$

$$c_{k} = 1 + P_{S,1}^{[k]} \gamma_{SR}^{[k]}$$

$$d_{k} = \gamma_{RD}^{[k]}.$$

Let us write down the Karush-Kuhn-Tucker (KKT) conditions to the problem (15)-(17) as, for  $\forall k$ ,

$$1 + \lambda \frac{b_k d_k}{\ln 2(c_k + d_k P_R^{[k]})(b_k + a_k c_k + a_k d_k P_R^{[k]})} = 0 \quad (18)$$
$$\lambda \left[ 2q - \sum_{k=1}^K \log_2 \left( a_k + \frac{b_k}{d_k P_R^{[k]} + c_k} \right) \right] = 0 \quad (19)$$

$$\begin{array}{c} L \\ k=1 \end{array} \quad \left( \begin{array}{c} 0 & \lambda_{R} + 0 & \gamma \end{array} \right) \\ \lambda \\ P_{R}^{[k]} \\ \geq 0 \end{array} \quad (20) \\ P_{R}^{[k]} \\ \geq 0 \end{array} \quad (21)$$

It is easy to notice that the Lagrangian multiplier  $\lambda$  cannot take zero value. In addition, it can be proved that only when  $b_k < 0$  while  $a_k, c_k, d_k > 0$ , the problem (15)-(17) have solution. Under this condition the problem (15)-(17) is convex on  $\left\{P_R^{[k]} \mid P_R^{[k]} \ge 0, k = 1, 2, \dots K\right\}$ . For fixed  $\lambda > 0$ , as the left-hand-side (LHS) of (18) is a monotonically increasing function of  $P_R^{[k]}$ , we can use a bi-section search algorithm to solve expressions (18)-(21), which in turn leads us to the solution of problem (15)-(17).

# IV. OPTIMAL FIRST-PHASE SOURCE POWER ALLOCATION WHEN GIVEN SECOND-PHASE POWER AND RELAY POWER ALLOCATIONS

For given  $P_{S,2}^{[k]}$  and  $P_R^{[k]}$  (k = 1, 2, ..., K), we now consider the optimization of the source power allocation in the first phase, namely  $P_{S,1}^{[k]}$ , by solving the problem as

$$\min_{P_{S,1}^{[k]}} \sum_{k=1}^{K} P_{S,1}^{[k]}$$
(22)

s.t. 
$$\frac{1}{2} \sum_{k=1}^{K} \log_2 \left( A_k + B_k P_{S,1}^{[k]} + \frac{C_k}{D_k P_{S,1}^{[k]} + E_k} \right) \ge q$$
 (23)  
 $P_{S,1}^{[k]} \ge 0, \forall k$  (24)

where

$$A_{k} = 1 + P_{R}^{[k]} \gamma_{RD}^{[k]} + P_{S,2}^{[k]} \gamma_{SD}^{[k]}$$

$$B_{k} = \gamma_{SD}^{[k]}$$

$$C_{k} = -P_{R}^{[k]} \gamma_{RD}^{[k]} \left(1 + P_{R}^{[k]} \gamma_{RD}^{[k]} + P_{S,2}^{[k]} \gamma_{SD}^{[k]}\right)$$

$$D_{k} = \gamma_{SR}^{[k]}$$

$$E_{k} = 1 + P_{R}^{[k]} \gamma_{RD}^{[k]}.$$

Let us write down the KKT condition to the problem (22)-(24) as, for  $\forall k$ ,

$$\left(A_{k} + B_{k}P_{S,1}^{[k]} + \frac{C_{k}}{E_{k} + P_{S,1}D_{k}}\right)\ln 2 
+\lambda \left(B_{k} + \frac{C_{k}D_{k}}{\left(E_{k} + P_{S,1}^{[k]}D_{k}\right)^{2}}\right) = 0$$

$$\lambda \left[2q - \sum_{k=1}^{K}\log_{2}\left(A_{k} + B_{k}P_{S,1}^{[k]} + \frac{C_{k}}{D_{k}P_{S,1}^{[k]} + E_{k}}\right)\right] = 0$$
(25)

$$\begin{array}{ll} \lambda \geq 0 & (27) \\ P_{S,1}^{[k]} \geq 0 & . \end{array} \tag{28}$$

We observe that, for fixed  $\lambda > 0$ , the LHS of (25) is a monotonically increasing function of  $P_{S,1}^{[k]}$  and the LHS of (26) is a decreasing function of  $P_{S,1}^{[k]}$ . Furthermore, the problem (22)-(24) is only solvable when  $C_k < 0$  while  $A_K, B_k, D_k, E_k > 0$ , and under this condition it is convex on  $\left\{P_{S,1}^{[k]} \mid P_{S,1}^{[k]} \ge 0, k = 1, 2, \dots K\right\}$ . Thus its solution can be found by using the bi-section search algorithm.

# V. OPTIMAL SECOND-PHASE SOURCE POWER ALLOCATION WHEN GIVEN FIRST-PHASE POWER AND RELAY POWER ALLOCATIONS

Similarly, based on the optimized  $P_{S,1}^{[k]}$  from Section IV and  $P_R^{[k]}$  from Section III, we now consider the optimization of the source power allocation in the second phase, i.e.  $P_{S,2}^{[k]}$ , by solving the problem as

$$\min_{P_{S,2}^{[k]}} \sum_{k=1}^{K} P_{S,2}^{[k]}$$
(29)

s.t. 
$$\frac{1}{2} \sum_{k=1}^{K} \log_2 \left( \alpha_k + \beta_k P_{S,2}^{[k]} \right) \ge q$$
 (30)  
 $P_{S,2}^{[k]} \ge 0, \forall k$  (31)

where

$$\begin{split} \alpha_k &= 1 + P_{S,1}^{[k]} \gamma_{SD}^{[k]} + \frac{P_{S,1}^{[k]} \gamma_{SR}^{[k]} P_R^{[k]} \gamma_{RD}^{[k]}}{1 + P_{S,1}^{[k]} \gamma_{SR}^{[k]} + P_R^{[k]} \gamma_{RD}^{[k]}} \\ \beta_k &= \frac{P_{S,1}^{[k]} \gamma_{SR}^{[k]} \gamma_{SD}^{[k]} + \gamma_{SD}^{[k]}}{1 + P_{S,1}^{[k]} \gamma_{SR}^{[k]} + P_R^{[k]} \gamma_{RD}^{[k]}}. \end{split}$$

## Algorithm 1 AO algorithm for proposed problem

1) Use bi-section algorithm with preselected (newly obtained) allocations of source power in two phases to solve (18)-(21) to find a new set of relay power.

2) Use bi-section algorithm with the preselected (newly obtained) second-phase source power and newly obtained relay power to solve (25)-(28) to find a new set of first-phase power.3) Use bi-section algorithm with newly obtained allocations of relay power and first-phase source power to solve (32)-(35) to find a new set of second-phase source power.

4) If the difference of (11) between two consecutive loops is less than a preset threshold  $\sigma$ , then stop; otherwise, GO TO step 1).

The KKT conditions to the problem (29)-(31) are, for  $\forall k$ ,

$$1 - \lambda \frac{\beta_k}{\ln 2 \left( \alpha_k + \beta_k P_{S,2}^{[k]} \right)} = 0 \tag{32}$$

$$\lambda \left[ 2q - \sum_{k=1}^{K} \log_2 \left( \alpha_k + \beta_k P_{S,2}^{[k]} \right) \right] = 0 \tag{33}$$

$$\vec{\lambda} \ge 0 \qquad (34)$$
$$P_{S,2}^{[k]} \ge 0 \qquad (35)$$

For fixed  $\lambda > 0$ , the LHS of (32) is a monotonically increasing function of  $P_{S,2}^{[k]}$  and the LHS of (33) is a decreasing function of  $P_{S,2}^{[k]}$ . Thus, the problem (29)-(31) is solvable and convex on  $\left\{P_{S,2}^{[k]} \mid P_{S,2}^{[k]} \ge 0, k = 1, 2, \dots K\right\}$ , when  $a_k, b_k > 0$ . Again, its solution can be found by using the bi-section search algorithm.

#### VI. PROPOSED ITERATIVE ALGORITHM

Based on the discussion from Sections III to V, we summarize the proposed AO algorithm, as we mentioned earlier, for solving the proposed problem (11)-(13). This is shown in Algorithm 1.

In general, the alternating optimization method cannot guarantee to converge to the globally optimal solution. However, since constraint in (12) is convex for any fixed group of  $\left\{P_{S,1}^{[k]}, P_{S,2}^{[k]}\right\}, \left\{P_{R}^{[k]}, P_{S,2}^{[k]}\right\}$  or  $\left\{P_{S,1}^{[k]}, P_{R}^{[k]}\right\}$ , the proposed AO algorithm converges to a stationary point of the objective function (11). This will be verified by the simulation examples in the next section.

#### VII. NUMERICAL EXAMPLES

In this section we present simulation results based on the indoor PLC channel environment by using the direct PLC channel model [11] and noise model [12]. Here, we assume the the relay node has been chosen on the main path, which means with the relation (1) we can cascade two randomly generated direct channel model to get a relay channel. Also, from the randomly generated channel transfer function and noise PSD, we can calculate the normalized channel gain on each subcarrier. For simplicity of presentation, we set the

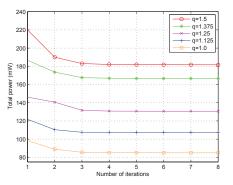


Fig. 3: Total power versus number of iterations.

subcarrier amount K as 32, among the usual broadband PLC spectrum, i.e. 2-30MHz. However, we mention that in practical PLC systems this value is usually larger than one thousand. In addition, we set the power spectrum density (PSD) of the noise on the relay node and the destination node to the same, even though this is not always true in the real PLC environment, e.g. an outlet near a noise-generating appliance usually has a stronger PSD than the one which is located further away from that appliance.

For the proposed algorithm, we set the convergence condition as the difference between the total power obtained in two consecutive iterations less than  $10^{-5}$ . As an example to demonstrate the convergence speed of the overall AO algorithm, Fig. 3 shows the total transmission power versus the number of iterations when the averaged subchannel capacity in (16) is set to five different values from 1 to 1.5 bit/Sec/Hz, respectively. It can be seen that the proposed AO algorithm converges typically within six iterations. Specifically, the decreasing of the total power after four iterations is very small. Thus only a few iterations are required to achieve a good performance. This also indicates that the AO algorithm has a short processing delay, which is important for practical PLC relay systems. It can also be observed from Fig. 3 that with the increasing of averaged subchannel capacity, more transmission power is needed to meet the stricter QoS constraint, which reflects the typical QoS-cost tradeoff in communication systems.

Next, we compare the proposed AO power allocation algorithm on the general BMA relay scheme with the two-hop relay system as proposed in [4] and the BF relay system under the same channel conditions, and plot the total network power versus the common QoS requirements. We can see from Fig. 4 that the AO algorithm make the system meet the requirement with the least power consumption. The details of the power allocations on each subchannel for two-hop, BF and BMA relay systems has been shown in Fig. 5, where the averaged subchannel capacity is set to 1 bit/sec/Hz. Considering the the PLC system's possible electromagnetic interference (EMI) to the shortwave radio system, we hope this power saving property can relieve this issue to some extent.

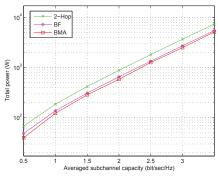
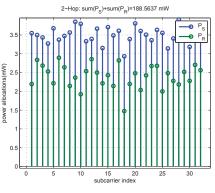
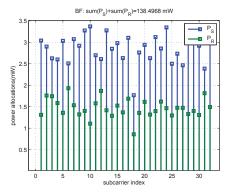


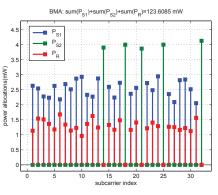
Fig. 4: Total power versus averaged subchannel capacity.







(b) Broadcast-and-forward (BF) relay



(c) broadcast-and-multiaccess (BMA) relay

Fig. 5: Power allocations on each subchannel of three relay schemes under the same channel conditions with common QoS requirement.

#### VIII. CONCLUSION

We have developed an iterative algorithm to jointly optimize the source power and relay power allocations for the general three-node/two-phase relay system, where the source transmits in both time-phases. Specifically, we examined the minimization of the total transmission power when there is a minimal channel capacity requirement from some indoor PLC system applications. Simulation results show that with respect to a two-hop and BF relay systems with certain QoS constraint, the proposed general relay system, along with the proposed alternative algorithm, can attain the same QoS requirement with less total transmission power.

#### REFERENCES

- L. Lampe and A. J. Han Vinck, "Cooperative multihop power line communications," *IEEE the 16th International Symposium on Power-Line Communications and its Applications(ISPLC)*, Beijing, China, March 2012, pp. 1-6.
- [2] A. M. Tonello, F. Versolatto, and S. D'Alessandro, "Opportunistic relaying in in-home PLC networks," *Proc. IEEE Global Telecommunications Conference (GLOBECOM'2010)*, Miami, FL, USA, Dec. 2010.
- [3] L. Lampe, R. Schober, and S. Yiu, "Distributed space-time coding for multihop transmission in power line communication networks," *IEEE J. Sel. Areas Comm.*, vol. 24, pp. 1389-1400, Jul. 2006.
- [4] W. Zhang, U. Mitra, and M. Chiang, "Optimization of amplify-andforward multicarrier two-hop transmission," *IEEE Trans. Commun.*, vol. 59, pp. 1434-1445, May 2011.
- [5] Y. Li, W. Wang, J. Kong, W. Hong, X. Zhang and M. Peng, "Power allocation and subcarrier pairing in OFDM-based relaying networks," *Proc. IEEE Int. Conference on Communications, 2008 (ICC '08)*, Beijing, China, May 2008, pp. 2602-2606.
- [6] I. Hammerstrom and A. Wittneben, "On the optimal power allocation for nonregenerative OFDM relay links," *Proc. IEEE International Conference on Communications, 2006 (ICC '06)*, Istanbul, Turkey, June 2006, vol. 10, pp. 4463-4468.
- [7] Y. Ma, A. Liu, and Y. Hua, "A dual-phase power allocation scheme for multicarrier relay system with direct link," *IEEE Trans. Signal Process.*, vol. 62, pp. 5-16, Jan. 2014.
- [8] N. Papandreou and T. Antonakopoulos, "Bit and power allocation in constrained multicarrier systems: The single-user case," *EURASIP Journal* on Advances in Signal Processing, vol. 2008, Article ID 2008:643081.
- [9] Y. Rong, X. Tang, and Y. Hua, "A unified framework for optimizing linear nonregenerative multicarrier MIMO relay communication systems," *IEEE Trans. Signal Process.*, vol. 57, pp. 4837-4851, Dec. 2009.
- [10] F. J. Canete, J. A. C. Arrabal, L. Diez del Rio, and J. T. E. Munoz, "Analysis of the cyclic short-term variation of indoor power line channels," *IEEE J. Sel. Areas Comm.*, vol. 24, pp. 1327-1338, July 2006.
  [11] F. J. Canete, J. A. Cortes, and L. Diez, "A channel model proposal for
- [11] F. J. Canete, J. A. Cortes, and L. Diez, "A channel model proposal for indoor power line communications," *IEEE Comm. Mag.*, vol. 49, pp. 166-174, Dec. 2011.
- [12] D. Benyoucef, "A new statistical model of the noise power density spectrum for powerline communication," *IEEE the 7th International Symposium on Power-Line Communications and its Applications(ISPLC)*, Kyoto, Japan, March 2003, pp. 136-141.