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# 1 Evaluation of the third- and fourth-generation GOCE Earth gravity field 2 models with Australian terrestrial gravity data in spherical harmonics

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7 **Abstract** In March 2013 the fourth generation of ESA's (*European Space Agency*) global gravity field models, DIR4  
8 (Bruinsma et al, 2010b) and TIM4 (Pail et al, 2010), generated from the GOCE (*Gravity field and steady-state*  
9 *Ocean Circulation Explorer*) gravity observation satellite were released. We evaluate the models using an independent  
10 ground truth data set of gravity anomalies over Australia. Combined with GRACE (*Gravity Recovery and Climate*  
11 *Experiment*) satellite gravity, a new gravity model is obtained that is used to perform comparisons with GOCE  
12 models in spherical harmonics. Over Australia, the new gravity model proves to have significantly higher accuracy in  
13 the degrees below 120 as compared to EGM2008 and seems to be at least comparable to the accuracy of this model  
14 between degree 150 and degree 260. Comparisons in terms of residual quasi-geoid heights, gravity disturbances,  
15 and radial gravity gradients evaluated on the ellipsoid and at approximate GOCE mean satellite altitude ( $h=250$   
16 km) show both fourth generation models to improve significantly w.r.t. their predecessors. Relatively, we find a  
17 root-mean-square improvement of 39 % for the DIR4 and 23 % for TIM4 over the respective third release models at  
18 a spatial scale of 100 km (degree 200). In terms of absolute errors TIM4 is found to perform slightly better in the  
19 bands from degree 120 up to degree 160 and DIR4 is found to perform slightly better than TIM4 from degree 170  
20 up to degree 250. Our analyses cannot confirm the DIR4 formal error of 1 cm geoid height (0.35 mGal in terms of  
21 gravity) at degree 200. The formal errors of TIM4, with 3.2 cm geoid height (0.9 mGal in terms of gravity) at degree  
22 200, seem to be realistic. Due to combination with GRACE and SLR data, the DIR models, at satellite altitude,  
23 clearly show lower RMS values compared to TIM models in the long wavelength part of the spectrum (below degree  
24 and order 120). Our study shows different spectral sensitivity of different functionals at ground level and at GOCE  
25 satellite altitude and establishes the link among these findings and the Meissl scheme (Rummel and van Gelderen,  
26 1995).

27 **Keywords** GOCE · global gravity field model · DIR · TIM · spherical harmonic analysis · coefficient transformation  
28 method · Meissl scheme

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## 1 Introduction

Today, a great variety of global gravity field models (GGFMs) generated from data of ESA's (*European Space Agency*) GOCE (*Gravity field and steady-state Ocean Circulation Explorer*) gravity field observation satellite (ESA, 1999) exists. Three different approaches for recovering gravity from the satellite's measurements, namely the *space-wise* (SPW) (Migliaccio et al, 2010), the *time-wise* (TIM) (Pail et al, 2010), and the *direct* (DIR) (Bruinsma et al, 2010b) method, have been developed, embedded in the ESA *High-level Processing Facility* (HPF). In March 2013 the fourth generation models of the DIR and TIM approach were published, both effectively relying on more than 26 months of data. From the SPW approach, however, only two early release models exist, which in the following are not considered further.

Looking at the third and fourth generation models of the DIR and TIM approach, not only the amount of data being used differs with respect to their predecessors, but also the processing strategies applied. Due to those changes improvement may be expected for the new generation models, however, investigations are required. This study evaluates the models' performance in terms of relative improvement and absolute accuracy and shall assess the models' formal error estimates.

GOCE gravity models up to the third generation have been evaluated in many publications with different methods and different datasets. A sound description and comparison of the different processing strategies and the performance of the first generation gravity field models can be found in Pail et al (2011a). In Gruber et al (2011) the first-generation GOCE GGFMs are assessed globally by means of orbit determination of low-orbiting satellites and regionally by point-wise geoid heights from GPS-levelling data. In Hirt et al (2011) first generation GOCE GGFMs are evaluated regionally with terrestrial gravity measurements and point-wise astrogeodetic vertical deflections, and globally with quasi-geoid heights derived from EGM2008. In order to overcome the spectral band limitation of the models the so called *spectral enhancement method* (SEM) (see, e.g., Hirt et al (2011)) was applied, where information of high frequency GGFMs (like EGM2008 (Pavlis et al, 2012)) and residual terrain data (to account for the ultra-high frequencies) is used to make the spectral content of GGFMs and ground truth data largely compatible. In Tscherning and Arabelos (2011) the first- and second-release GOCE models are compared to gravity anomalies and to radial gradients recovered from GOCE gradiometer data using *Least-Squares Collocation* (LSC), and to ground truth data sets in various regions of the planet. Janák and Pitoňák (2011) evaluated the first- and second-release GOCE GGFMs with GNSS/levelling data and gravity observations at 31 stations of the Slovak Terrestrial Reference Frame, and additionally compared the models with EGM2008 and GOCO02 (Pail et al, 2011b) in spatial domain making use of a simple version of the SEM. In Hirt et al (2012) gravity signals as implied by the Earth's topography and explained by different isostatic models are used to evaluate the performance of the first- to third-generation GOCE models at various spatial scales. Šprlák et al (2012) evaluated the first- to third-generation models with an independent data set of SEM-reduced free-air gravity anomalies in Norway and Bouman and Fuchs (2012) assessed the quality and the performance of the GOCE GGFMs and of the underlying processing strategies with band-filtered gradient observations of the GOCE gradiometer, globally. We also acknowledge other existing studies evaluating GOCE GGFMs over different regions with different terrestrial data sets, e.g. over parts of Sudan (Abdalla et al, 2012), Brazil (Guimarães et al, 2012), Hungary (Szücz, 2012), Norway (Šprlák et al, 2011; Gerlach et al, 2013) and Germany (Voigt et al, 2010; Voigt and Denker, 2011).

The idea and the scope of this study is to evaluate the GOCE gravity field models up to the fourth generation with a new spherical harmonic gravity field model, which is independent of GOCE data and contains terrestrial gravity information in Australia. Using a new and independent model of the disturbing potential parameterized in spherical harmonics offers a number of advantages over using just (regional) point or interpolated (gridded) ground truth data sets for an evaluation. First, there is no restriction to a certain gravity field functional, which would normally be predetermined by the type of available ground truth data. As will be shown in this paper, the combined use of different gravity field functionals facilitates a more complete evaluation of the GOCE gravity fields. Different functionals, e.g. gravity disturbances or gravity gradients, have different sensitivity to different spectral bands of the Earth's gravity field and provide valuable complementary information on the GOCE model performance. This

has been already noticed, e.g., in Szücs (2012), but the sensitivity of different functionals has not been analysed systematically. Second, the evaluation is not restricted to the exact position of the measurement on ground, but can be freely chosen by a triplet of spherical geocentric coordinates  $(\phi, \lambda, r)$  in the *spherical harmonic synthesis* (SHS). This allows, e.g., straightforward evaluation at ground level and/or at satellite height. Third, comparisons in spherical harmonics avoid the need to overcome a spectral gap, which usually occurs when comparing truncated/band-limited GOCE GGFMs with terrestrial gravity (see SEM approach, e.g. Hirt et al (2011); Šprlák et al (2012)). The SEM, however, is not flexible but restricted to the gravity field functional represented by the comparison data on ground level. Alternatively to the SEM, the terrestrial data (or satellite observations) can be lowpass filtered, e.g., with a Butterworth filter in the frequency domain (Šprlák et al, 2011), a Gaussian filter in the spatial domain (Voigt et al, 2010; Voigt and Denker, 2011), or by means of wavelet approaches like the second generation wavelets approach (Ihde et al, 2010), in order to make them comparable to the band-limited GGFMs.

Having the points outlined above in mind, an elegant way to evaluate a GGFM is by comparison with another independent GGFM as a reference. Such a data set in principle is already given, e.g., by EGM2008. However, this model does not include all up-to-date gravity data which is, e.g., available for Australia, today.

In section 2 an overview (of the features) of ESA's most recent GOCE gravity field models is provided and the changes between the releases are summarized. In section 3 one way of generating a (comparison) GGFM, which we use to evaluate GOCE's GGFMs above the landmasses of Australia, is presented. A so far little used but effective spherical harmonic analysis (SHA) approach, the so-called *coefficient transformation method* (Claessens, 2006), is used to retrieve spherical harmonic coefficients of the disturbing potential (see section 3.2). This technique is applied to a global grid of free-air gravity anomalies, which includes terrestrial data over Australia (see section 3.1). The resulting GGFM is then combined with GRACE (*Gravity Recovery and Climate Experiment*) (Tapley and Reigber, 2001) data on the basis of normal equations (see section 3.3). The finally created set of spherical harmonic coefficients, named AUS-GGM, and its features are discussed in section 3.4. In a next step GOCE GGFMs are evaluated over Australia (see section 4) by means of *root-mean-square* (RMS) errors (see section 3.5) of residual quasi-geoid heights, gravity disturbances and radial gravity gradients (in spherical approximation). The evaluation is based on three gravity functionals of different spectral sensitivity, evaluated on the ellipsoid (section 4.1) and at satellite height (section 4.2), which allows an interpretation of the results in line with the Meissl-scheme (Rummel and van Gelderen, 1995) in section 4.3. Finally, section 5 summarizes our investigations, and key findings are formulated.

## 2 GOCE global gravity field models

In this section a short overview of the second-, third-, and fourth-generation ESA GOCE models of the DIR and TIM approach is given, focusing on the innovation of each release. A general overview on the underlying principles and methods of the two approaches can be found, e.g., in Pail et al (2010, 2011a); Bruinsma et al (2010b). Table 1 lists the main characteristics of the models and changes with respect to their previous releases (right column). The information was retrieved from the models' header information and their respective data sheets, all released via the ICGEM-homepage (<http://icgem.gfz-potsdam.de/ICGEM/>).

DIR models of second and third generation have a maximum spherical harmonic degree  $L_{max}$  of 240, while the DIR4 model has a higher spatial resolution ( $L_{max}=260$ ). All three DIR releases (in addition to GOCE gravity gradient data) contain GRACE information in the lower to medium range spherical harmonic degrees. In the second DIR release the ITG-GRACE2010s (Mayer-Gürr et al, 2010) solution is introduced as a priori information until degree and order (d/o) 150. In the DIR3 and DIR4 models GRACE is combined with GOCE and *satellite laser-ranging* (SLR) data of LAGEOS (Tapley et al, 1993) on the basis of normal equations. In the DIR3 model GRACE normal equations up to d/o 160 are used which entirely rely on the procedures of the second release CNES/GRGS (*Centre National d'Etudes Spatiales/Groupe de Recherches de Géodésie Spatiale*) models (Bruinsma et al, 2010a). In the DIR4 model, the same GRGS-GRACE normal equations are used only up to d/o 54. From degree 55 up to degree 180 DIR4 is based on GRACE GFZ (*GeoForschungsZentrum Potsdam*) release 5 gravity field solution (Dahle et al, 2013). The amount of data/observations from all involved satellites is increasing with each DIR release. Effectively, DIR3 and DIR4 is based on 12 months and 27.9 months of GOCE data, respectively. In the

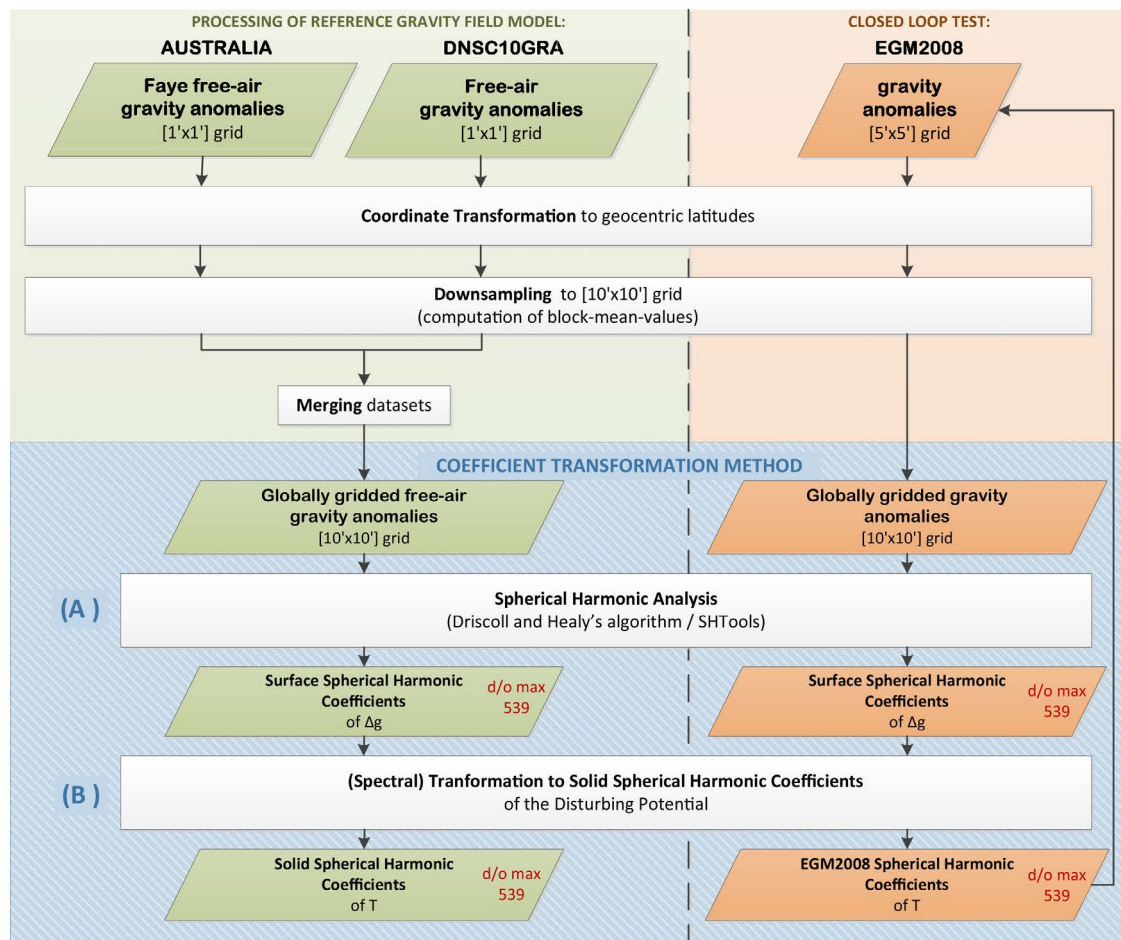
| Model Name | $L_{max}$ | Data Used   | A Priori Information / Constraints   | Processing Changes w.r.t. Previous Release   |
|------------|-----------|---|--|--|
| DIR2       | 240       | GOCE (8 months)   | ITG-GRACE2010s $\leq$ d/o 150 spherical cap reg. (using GRACE/LAGEOS)                  | SGG: 10-125 mHz bandpass filter<br>SST: $\leq$ d/o 130   |
| DIR3       | 240       | GOCE (18 months)<br>GRACE (6.5 years)<br>SLR: LAGEOS-1/-2 (6.5 years) | Kaula $\geq$ degree 200<br>DIR2 $\leq$ d/o 240 spherical cap reg. (using GRACE/LAGEOS) | GRACE as normal eq.<br>LAGEOS as normal eq.<br>SGG components : equal relative weights   |
| DIR4       | 260       | GOCE (33 months)<br>GRACE (9 years )<br>SLR: LAGEOS-1/-2 (1985-2010)  | Kaula $\geq$ degree 200<br>DIR3 $\leq$ d/o 240 spherical cap reg. (using GRACE/LAGEOS) | SGG: inclusion of $V_{xz}$ -component<br>SGG: 8.3-125 mHz bandpass filter<br>GRACE: GRGS-RL02 up to d/o 54<br>GRACE: GFZ-RL05 from degree 55 |
| TIM2       | 250       | GOCE (8 months)   | Kaula $\geq$ degree 180 spherical cap reg. (using Kaula's rule)                        | none   |
| TIM3       | 250       | GOCE (18 months)  | Kaula $\geq$ degree 180 spherical cap reg. (using Kaula's rule)                        | SGG: inclusion of $V_{xz}$ -component  |
| TIM4       | 250       | GOCE (32 months)  | Kaula $\geq$ degree 180 spherical cap reg. (using Kaula's rule)                        | SST : short-arc integral   |

**Table 1** Main characteristics of a selection of the most recent gravity field models relying on GOCE data (periods do not reflect the effective amount of data being used) [source: ICGEM (<http://icgem.gfz-potsdam.de/ICGEM/ICGEM.html>)];  $L_{max}$  denotes the maximum spherical harmonic degree of the model; SGG : *satellite gravity gradiometer*; SST : *satellite-to-satellite tracking*; SLR : *satellite laser-ranging*; DIR: GO\_CONS.GCF\_2\_DIR.R (2,3,4) ; TIM: GO\_CONS.GCF\_2\_TIM.R (2,3,4)

124 last three DIR releases consistently a *spherical cap regularization* (SCR) (Metzler and Pail, 2005) was applied using  
 125 GRACE and LAGEOS information in order to overcome GOCE's polar observation gap (Sneeuw and van Gelderen,  
 126 1997), which is caused by the satellite's orbit inclination of  $96.7^\circ$  (ESA, 1999). In the third and fourth DIR release,  
 127 additionally, the predecessor release was used as a priori information (up to d/o 240) and a *Kaula regularization*  
 128 (see, e.g., Metzler and Pail (2005)) was applied starting at degree 200. Since the third DIR release the information  
 129 gathered from each of the three gravity tensor elements measured with GOCE's on-board SGG is weighted equally  
 130 in the combination. In the DIR4 release information of the off-diagonal tensor element  $V_{xz}$  was likewise included.  
 131 Besides, in DIR4, the spectral band of the bandpass filter used to filter the SGG observations was extended by 1.7  
 132 mHz towards the lower frequency domain. Within the DIR approach (in contrary to the TIM approach) the use of  
 133 GOCE gradient information is restricted to a certain spectral band, which is close to the gradiometer's designed  
 134 measurement bandwidth (5 mHz to 100 mHz, see, e.g. ESA (1999)).

135 Looking at the TIM models, the models' maximum spherical harmonic degree is constant at degree 250 for the  
 136 latest three releases. All TIM models exclusively rely on GOCE SGG and SST-hl (*satellite-to-satellite observation*  
 137 *in high-low mode*) data, however, the amount of data increases with each release. Effectively, TIM3 and TIM4  
 138 are based on 12 months and 26.5 months of GOCE data, respectively. Each TIM model is constrained according  
 139 to *Kaula's rule* (Kaula, 1966) by means of (1) a spherical cap regularization (Metzler and Pail, 2005) in order  
 140 to deal with the polar observation gap (ESA, 1999) and (2) a (full) *Kaula regularization* starting at degree 180.  
 141 Since the first TIM release the stochastic models for the gradient observations are estimated from small, coherent  
 142 data-patches, resulting in improved (tuned) filtering of the gradients in the time domain. Remaining unchanged  
 143 for the all releases, the filtering procedure within the TIM approach allows to use the information of the gradient  
 144 observations over the entire spectrum. Since the TIM3 release, the off-diagonal tensor element  $V_{xz}$  finds application  
 145 in the models. Finally, in the fourth TIM release the processing strategy for the SST normal equations was changed  
 146 from the *energy integral approach* (Badura, 2006) to the *short-arc integral method* (Mayer-Gürr et al, 2006).

147 Not explicitly included in the table is the introduction of a new Level-1b (L1b) processing procedure (Stummer  
 148 et al, 2011, 2012) in 2012 due to which a better performance of GOCE's *satellite gravity gradiometer* (SGG) is  
 149 to be expected in the fourth generation models (DIR and TIM). According to Pail et al (2012) gradiometry-only  
 150 gravity field estimates show largest improvements in the recovery of lower and medium degree coefficients and the  
 151 accuracy of combined gravity field models is reported to gain more than 10 %, even in higher degrees, due to the  
 152 new L1b processing.



**Fig. 1** Processing scheme for the generation of a comparison GGFM (left / green) and scheme for the closed loop test relying on EGM2008 (right / orange)

### 154 3 Data and methods for the creation of a GOCE-independent comparison GGFM

#### 155 3.1 Data

156 The aim of the research is to create a set of global spherical harmonic coefficients of the disturbing potential  
 157 from gridded (terrestrial) gravity data which is (a) completely independent of GOCE, and (b) of sufficient spatial  
 158 resolution and accuracy ( $\leq 1\text{-}2$  cm geoid height or  $\leq 1$  mGal at a spatial scale of 100 km) (c.f. ESA (1999)) in  
 159 order to evaluate the performance of GOCE GGFM. Globally this cannot be achieved, as there is no observation  
 160 technique with global coverage and similar or higher performance than GOCE. Regionally, however, it is possible to  
 161 use terrestrial gravity observations to evaluate GOCE. For our research, a comparison GGFM was computed with  
 162 terrestrial gravity over the land area of Australia.

163 The Australian terrestrial gravity data set available for this work consists of gridded Faye free-air gravity anomalies  
 164 on the topography with a resolution of 1'x1' (arc-minutes). In total about 1.4 million gravity observations over  
 165 Australia were taken from Australia's National Gravity Database (hosted at Geoscience Australia) to create the  
 166 gridded data set (Featherstone et al, 2010). This, e.g., exceeds the amount of observations (905,483) which have  
 167 been used to compute EGM2008 (c.f. Claessens et al (2009)). The 1'x1' anomaly grid has been computed from the  
 168 database in the course of the country's national (quasi-) geoid *AUSGeoid09* (Featherstone et al, 2010) computation.

169 The computation and the gridding of the gravity anomalies refers to the procedure originally described in detail  
 170 in Featherstone and Kirby (2000). Within the approach aliasing errors are minimized by interpolating the observed  
 171 gravity anomalies after a point-wise subtraction of a simple Bouguer anomaly (which is then restored after the  
 172 interpolation to a grid). The finally obtained Faye free-air anomalies are free-air anomalies of Molodensky's type  
 173 with the terrain correction applied. The additional terrain correction approximates Molodenski G1 correction term  
 174 (see, e.g, Torge (2001), p.290; Wang (1989)), which is generally needed for the downward continuation of free-air  
 175 anomalies to the ellipsoid.

176 The remainder of the Earth's gravity field is represented by a global grid of gravity anomalies provided by the Technical  
 177 University of Denmark's (DTU) marine gravity model DNSC10GRA, which is the successor of DNSC08GRA described  
 178 in Andersen et al (2009). The DTU data set relies on EGM2008 (Pavlis et al, 2012) over land and ArcGP (Forsberg  
 179 and Kenyon, 2004) gravity data and ICESat's laser altimetry data (Zwally et al, 2002) over polar regions. Offshore  
 180 gravity is recovered from the knowledge of the oceans' mean sea surface height (SSH) derived from satellite altimetry.  
 181 The mean SSH is determined with a so called *double retracking technique* (Andersen et al, 2009), which leads to  
 182 an augmented spatial coverage (especially in ice-covered regions), using data of the altimetry satellites GEOSAT  
 183 and ERS-1. Data of the altimeter missions Topex/Poseidon, GFO, ERS-2 and Envisat also found application in the  
 184 DNSC10GRA development.

### 185 3.2 Gridding and spherical harmonic analysis

186 In this section, the computation steps in order to obtain coefficients of the disturbing potential from the initial  
 187 data sets are explained. Figure 1 schematically shows the data flow of the processing (left side of the scheme). In a  
 188 pre-processing step, the data sets have to be consistently prepared and merged for the subsequent SHA procedure  
 189 by a coordinate transformation and consecutive down-sampling. The SHA is accomplished based on the *coefficient*  
 190 *transformation method* (CTM) (Claessens, 2006). This approach requires (A) a spherical harmonic analysis to  
 191 compute a set of *surface* spherical harmonic coefficients and (B) a spectral transformation to transform these into  
 192 *solid* spherical harmonic coefficients of the disturbing potential (c.f. Figure 1).

193 For the spherical harmonic analysis (A), a homogeneous global grid of gravity anomalies on the ellipsoid with an  
 194 equiangular spacing in both 2D directions is needed. As mentioned above, such a grid with an equiangular spacing  
 195 of 1 arcminute is given with the DNSC10GRA data set, globally. Over the landmass of Australia, the country's  
 196 terrestrial gravity anomalies are used while DNSC10GRA is used to describe the Earth's gravity outside of Australia.  
 197 Before merging the 2 data sets, however, it is necessary to adapt and harmonize the data sets, taking the following  
 198 considerations into account:

199 The analysis procedure (A) relies on a quadrature algorithm based on Fourier transforms and a sampling theorem,  
 200 both described by Driscoll and Healy (1994). As defined by the sampling theorem, the maximum spherical harmonic  
 201 degree  $L_{max}$ , that can (exactly) be retrieved from a band-limited function given on a sphere, is defined through

$$L_{max} = \frac{N}{2} - 1, \quad (1)$$

202 where  $N$  denotes the even number of point values in latitude direction of an equiangular grid of size  $N \times N$  or  $N \times 2N$   
 203 (points in latitude direction  $\times$  points in longitude direction). Here, the latter grid sampling finds application for  
 204 reasons of convenience, as it is identical to the sampling of the used terrestrial gravity anomaly grid. For the purpose  
 205 of this study the maximum degree has to be at least equivalent to the GOCE GGFm with the highest resolution,  
 206 which is given with the fourth generation model of the DIR approach ( $L_{max} = 260$ ). Aimed at a maximum spherical  
 207 harmonic degree of 539 of the final GGFm - which is more than good enough for the purpose of this study - the gravity  
 208 anomaly grids are down-sampled accordingly to a 10' (arcminutes) spacing (leading to a global grid of 1080 $\times$ 2160  
 209 points). The down-sampling is performed by computing block-mean values for all grid-points entirely contained in  
 210 adjacent, equiangular blocks of 10' $\times$ 10' size. Prior to the down-sampling, the grids have to be transformed from

211 geodetic to geocentric latitudes. This can, e.g., be done with a 2D-spline interpolation using the simple relation

$$\tan\Theta = \frac{a^2}{b^2}\tan\phi \quad (2)$$

212 between the spherical co-latitude  $\Theta$  and the geodetic co-latitude  $\phi$ , where  $a$  is the semi-major and  $b$  the semi-minor  
213 axis of the underlying ellipsoid (see, e.g., Torge (2001), p.95), which is GRS80 (Moritz, 2000) in this case.

214 The set of spherical harmonic coefficients (SHC), which is computed with the Driscoll and Healy's (DH) algorithm  
215 using the SHTOOLS<sup>1</sup> software, is a set of *surface* SHCs. It can only be used to retrieve exactly the same gravity  
216 functional which was used as input (in this case gravity anomalies). Thus, a subsequent transformation (B) is needed  
217 to retrieve *solid* SHCs of the disturbing potential. This spectral transformation completes the CTM approach, which  
218 has been proposed by Claessens (2006). The CTM is used in conjunction with numerical quadrature methods like  
219 SHTools' DH-algorithm, and is based on the possibility to describe function values on the ellipsoidal surface in  
220 terms of a set of surface SHCs. Further, the CTM proves to be superior to several existing methods and comparable  
221 to the *ellipsoidal harmonics method* (EHM) (Jekeli, 1988) (c.f. Claessens (2006)). To be more precise, the CTM  
222 shows better accuracy regarding near-zonal coefficients and is slightly worse regarding the near-sectoral coefficients  
223 compared to the EHM. It is shown that the CTM's mean error is 0.3 mm and its maximum error 2.6 mm expressed  
224 in geoid height (in the spectral range of degree 20 to degree 340) (c.f. Claessens (2006)). For detailed information  
225 on the CTM and the transformation we refer to the cited literature, where the algorithm and its performance is  
226 comprehensively described.

227 The function described by the gravity anomaly grid points on the sphere is not band-limited as it is needed for DH's  
228 algorithm, and thus aliasing is to be expected. However, this effect can be ignored for the purpose of our research.  
229 Closed loop tests with a gravity anomaly grid expanded (up to degree 2190) from the EGM2008 gravity field model,  
230 passed through the same procedure outlined above (illustrated on the right side of figure 1), indicate that the input  
231 SHCs can be restored with sufficient accuracy. The gravity residuals reach at maximum  $\pm 0.75$  mGal at degree 200  
232 and their mean between degree 20 to degree 340 is 0.0025 mGal. Globally the root-mean-square (RMS) of closed  
233 loop discrepancies is 0.068 mGal at a spatial scale of 100 km (degree 200) and 0.07 mGal at a spatial scale of 80  
234 km (degree 250) in terms of gravity anomalies. By comparison, the estimated error of GOCE models is about 0.9  
235 mGal(HPF, 2013b) and 0.35 mGal (own computation) at degree 200 for TIM4 and DIR4, respectively.

### 236 3.3 Combination with GRACE

237 As a final step in order to obtain SHCs eligible to evaluate GOCE GGFMs, we combine the above received solid  
238 SHCs from the CTM with data of the GRACE satellite mission. GRACE information can be seen complementary to  
239 the high-frequency terrestrial data (present in Australia), as GRACE shows a very high performance in the recovery  
240 of the long wavelength part of the spectrum of the Earth's gravity field. The combination is performed on the basis  
241 of full GRACE normal equations (complete up to d/o 180), which have been computed in the course of the ITG-  
242 GRACE2010 gravity field model (Mayer-Gürr et al, 2010). The formal error-per-degree estimate of ITG-GRACE2010  
243 at degree 120 is 1.5 mm (and 4.2 mm accumulated error from own computations) in terms of geoid heights (c.f.  
244 Mayer-Gürr et al (2010)).

245 The combination can be expressed as a least-squares problem by introducing a (i) GRACE type system

$$l + v_1 = A \cdot x \quad (3)$$

246 where  $l$  are the GRACE observations used in the production of ITG-GRACE2010,  $A$  is the design matrix,  $x$  is the  
247 unknown parameter vector and  $v_1$  denotes the residuals of the process. Further, we introduce a (ii) system relying  
248 entirely on a priori information

$$x_0 + v_2 = I \cdot x \quad (4)$$

<sup>1</sup> <http://www.ipgp.fr/wieczor/SHTOOLS/SHTOOLS.html>

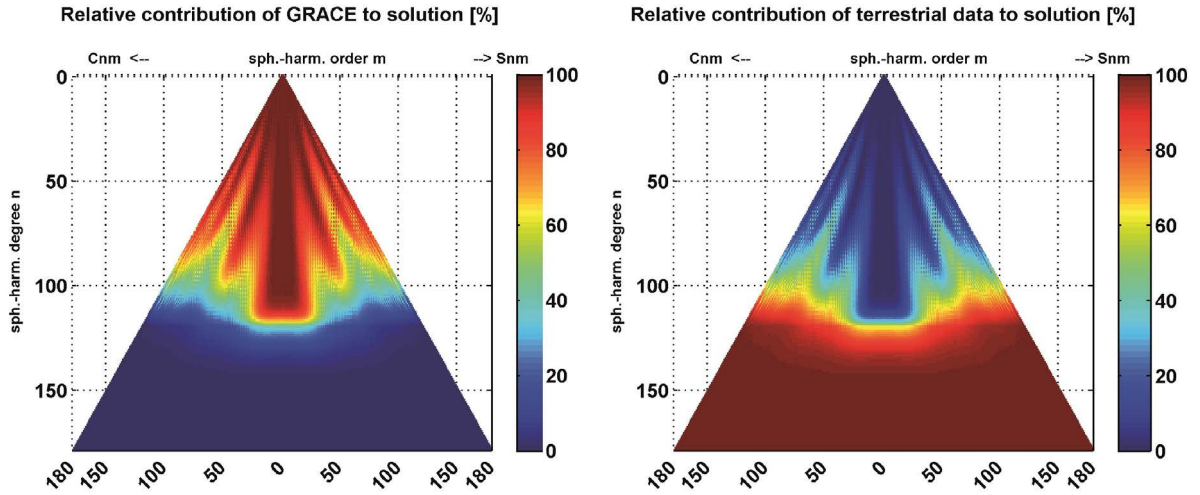


Fig. 2 Contribution of GRACE (left plot) and terrestrial data (right plot) to the combined solution

249 where  $x_0$  a priori known parameter vector,  $I$  the identity matrix and  $v_2$  denotes the residuals of the process. Because  
 250 of the linearized form and the affiliation to the same set of parameters, system  $i$  (equation 3) and system  $ii$  (equation  
 251 4) can be combined, assuming uncorrelated (pseudo-) observation groups by

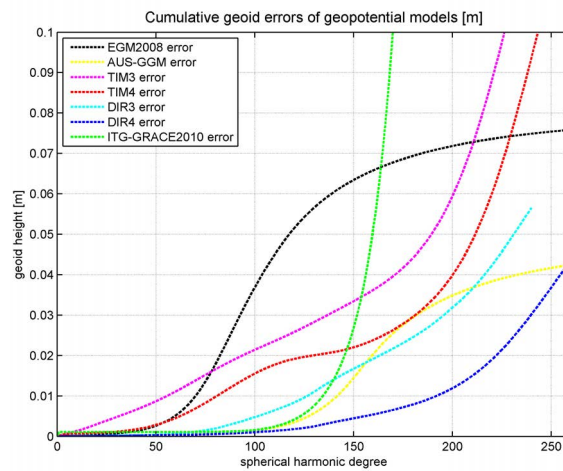
$$\begin{aligned} & (A^T \Sigma(l)^{-1} A + I^T \Sigma(x_0)^{-1} I) \cdot x \\ & = A^T \Sigma(l)^{-1} l + I^T \Sigma(x_0)^{-1} \cdot x_0 \end{aligned} \quad (5)$$

252 where  $A^T \Sigma(l)^{-1} A$  is the ITG-GRACE2010 normal equation matrix,  $A^T \Sigma(l)^{-1} l$  is the corresponding right-hand  
 253 side,  $\Sigma(l)$  and  $\Sigma(x_0)$  denote the variance-covariance matrices of system  $i$  and system  $ii$ , respectively. In our case the  
 254 a priori known parameters  $x_0$  are the SHCs related to the terrestrial data grid, and computed by the CTM approach.  
 255 The variance-covariance matrix  $\Sigma(x_0)$  only consists of diagonal elements, the variances of the SHCs. The variances  
 256 were defined empirically and degree-wise (based on the assumption that GRACE provides more accurate information  
 257 on the long wavelength part of the spectrum), so that their impact in the combination is minor below spherical  
 258 harmonic degree 120 and dominates beyond degree 120 regarding the given mean GRACE variance (-covariance)  
 259 information per degree. Expressed numerically in terms of standard deviations ( $\sigma(x_0)$ ), we start with  $1 \cdot 10^{-10}$  at  
 260 degree 0 and decrease with an increment of  $7.92 \cdot 10^{-13}$  for each degree, reaching  $4.149 \cdot 10^{-12}$  at degree 120  
 261 (and staying constant up to degree 180). Figure 2 shows the exact ratio of contribution of GRACE information (left  
 262 side) and terrestrial (and DNSC10GRA) information (right side) per spherical harmonic coefficient. From Figure 2 it  
 263 becomes clear that the combination consists of terrestrial data (and DNSC10GRA data outside of Australia), solely,  
 264 beyond the spherical harmonic degree 140.

265  
 266 We have exchanged the zonal spherical harmonic coefficient of degree two (C20) with its equivalent from  
 267 EGM2008, because GRACE's J2 coefficient is subject to tidal aliasing (c.f., e.g., Chen and Wilson (2010); Lavallée  
 268 et al (2010)). Within EGM2008 J2 originates from SLR, mainly.

269  
 270 As an aside, discrepancies between the terrestrial Faye free-air gravity anomalies and the DNSC10GRA /  
 271 EGM2008 free air gravity anomalies over the landmass of Australia could be detected, predominantly of long-  
 272 wavelength character (up to d/o 50). The highest amplitudes can be found along the *Great Dividing Range*, the  
 273 mountain chain in Australia's South-East, with 15 mGal. In terms of RMS difference, the discrepancy accounts for  
 274 1.6 mGal over Australia. These differences have already been reported to the data producers and warrant further in-  
 275 vestigations which are considered future work. This observation corroborates our strategy to exclusively use GRACE  
 276 on the long spatial scales. As to be expected the combined solution then shows better agreement with to EGM2008  
 277 below d/o 50.





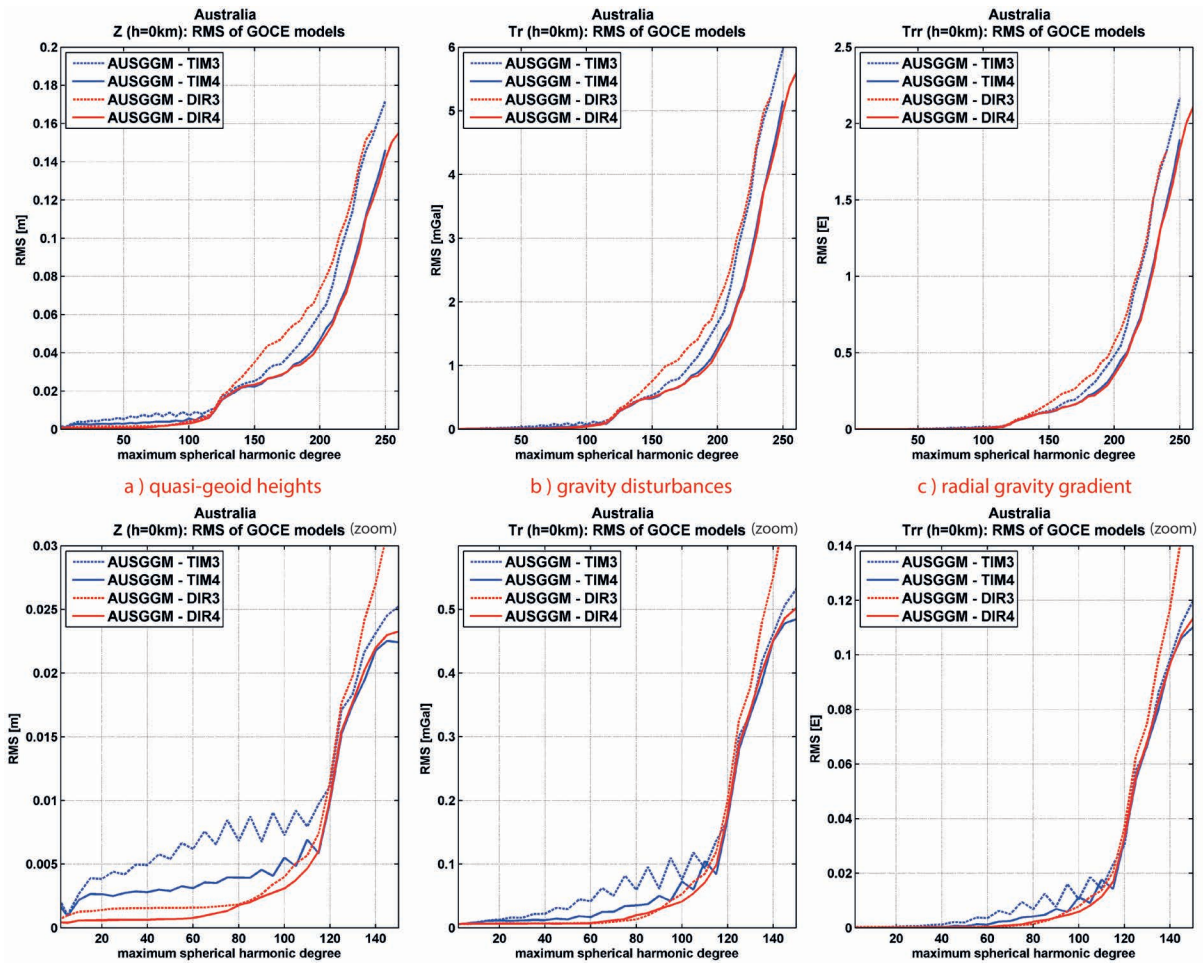
**Fig. 3** Cumulative formal error of AUS-GGM (approximate) and other geopotential models in meters of geoid height per spherical harmonic degree

### 278 3.4 Features and errors of the comparison GGFM

279 In this section the features of the created comparison model AUS-GGM are described in order to judge its ability  
 280 to evaluate GOCE GGFM's over Australia. The description is based on (approximate) cumulative errors, which  
 281 formally reflect the models' performance at a specific spherical harmonic degree on a global scale (and not only  
 282 over Australia). Figure 3 shows the respective cumulative formal geoid error of AUS-GGM (in yellow) together with  
 283 the equivalent errors of the other GGFM's which find attention in this research. In figure 3, the errors of AUS-  
 284 GGM's terrestrial gravity (which is incorporated in the model approximately above degree 120 (see section 3.3) and  
 285 which is mainly from DNSC10GRA/ EGM2008) are approximated by the standard deviations which are denoted for  
 286 EGM2008, as we do not obtain a formal error for the terrestrial gravity data from the CTM. As to be expected from  
 287 the combination of the terrestrial gravity with ITG-GRACE2010 (see section 3.3), we see the cumulative error of  
 288 AUS-GGM rise around degree 120 where the terrestrial gravity information supersedes GRACE's information. From  
 289 degree 2 up to degree 100 the cumulative geoid error of AUS-GGM is smaller or at least comparable to that of DIR4  
 290 (blue) and ITG-GRACE2010 (green) and smaller compared to the other illustrated geopotential models. At degree  
 291 200 our computations show that AUS-GGM with 35 mm cumulative geoid error seems comparable to the quality  
 292 of TIM4 (40 mm) and DIR3 (32 mm). It clearly outperforms TIM3 (60 mm) and EGM2008 (72 mm), however,  
 293 AUS-GGM shows a significantly higher error than DIR4 (12 mm). In the spectral range from degree 120 up to degree  
 294 250 DIR4 is the only model which constantly performs significantly better than AUS-GGM from formal perspective.  
 295 Bear in mind, however, that the cumulative errors reflect the global error and that the formal error of AUS-GGM  
 296 is approximate. For Australia, where we inserted dense and accurate terrestrial gravity information, the cumulative  
 297 errors as displayed in figure 3 are likely to be too pessimistic. From this perspective we conclude that AUS-GGM  
 298 is well designed to serve as a comparison GGFM over Australia in order to evaluate differences between the GOCE  
 299 GGFM's and may also be used to give absolute error estimates under consideration of its characteristic cumulative  
 300 error.

### 301 3.5 Evaluation method

302 For the evaluation of GOCE GGFM's with the newly created AUS-GGM in spatial domain, we make use of the  
 303 *harmonic\_synth* software (Holmes and Pavlis, 2008) to expand the coefficients to grids. Evaluations are performed  
 304 in the spatial domain and not in frequency domain, as we only want to focus on the landmass of Australia, where  
 305 newer terrestrial information has been introduced. A grid-spacing of 10 arcminutes is chosen in the SHS in order to  
 306 yield an oversampling compared to the maximum degree of GOCE GGFM's ( $\leq$  degree 260). Further, all grid values  
 307 are computed as point values in geodetic coordinates w.r.t. the GRS80 (Moritz, 2000) ellipsoid.



**Fig. 4** RMS values computed from the differences of selected GOCE GGFMs and the newly retrieved AUS-GGM in terms of (a) quasi-geoid heights  $\zeta$  in meters [left], (b) gravity disturbances  $T_r$  in mGal [middle] and (c) radial gravity gradients  $T_{rr}$  [right] on the ellipsoid ( $h = 0$ ); the bottom row plots zoom into the respective upper plot in the degree range 0 to 150

308 All RMS values are computed from the differences of the AUS-GGM grid and the GOCE GGM grid under evaluation,  
 309 w.r.t. the underlying gravity functional. All grid-points outside of Australia's landmass are not considered in the RMS.  
 310 The RMS is cumulative in the sense that the spherical harmonic expansion in the synthesis was always done starting  
 311 at degree 2 up to the denoted maximum spherical harmonic degree.

## 312 4 Results and Discussion

313 As outlined in the introduction, we focus on the evaluation of the third- and fourth-generation GOCE GGFMs. In  
 314 section 4.1 the evaluation is done on the ellipsoid, in section 4.2 at an approximate GOCE altitude of  $h = 250$ km.  
 315 The gravity functionals under evaluation are the quasi-geoid height  $\zeta$  in meter [m], the gravity disturbance  $T_r$ ,  
 316 (first radial derivative of the disturbing potential) in milli-Gal [mGal] ( $1mGal = 10^{-5} \frac{m}{s^2}$ ), and the radial gravity  
 317 gradient  $T_{rr}$  (second radial derivative of the disturbing potential) in Eötvös [E] ( $1E = 10^{-9} \frac{1}{s^2}$ ), all in spherical  
 318 approximation. With this set-up we intend to follow the Meissl scheme (Rummel and van Gelderen, 1995) and  
 319 investigate the models' performances in each of the six domains of the Meissl scheme.

### 320 4.1 Evaluation on ground level

321 With the evaluation on ground level (= surface of GRS80 ellipsoid) we intend to verify the accuracy of the models  
 322 at a height which is representative for applications of GOCE data on land (e.g. levelling).

323 In figure 4 the RMS values over Australia of the GOCE GGFMs w.r.t. AUS-GGM are displayed for all three gravity  
 324 functionals expanded to different maximum degrees. Analyzing all three plots in figure 4, one can clearly see that  
 325 the fourth generation models TIM4 and DIR4 outperform their respective predecessors beyond degree 150. In  
 326 the spectral range starting at degree 120 up to degree 250 both models show very similar RMS behavior. TIM4  
 327 seems to perform marginally better between degree 120 and degree 160 ( $\leq 4\%$  RMS difference) and DIR4 seems  
 328 to perform marginally better ( $\leq 6\%$  RMS difference) in the bands from degree 170 to degree 250. The latter might  
 329 be explained by the fact that DIR4 holds one additional month of GOCE information compared to TIM4 (see table  
 330 1 in section 2). Table 2 gives the RMS values for each model at the spatial scale of 100 km half wavelength (=   
 331 degree 200) for the three functionals. Given those values TIM4 shows an average relative improvement of about 23  
 332 % w.r.t. TIM3 and DIR4 shows an average relative improvement of about 39 % w.r.t. DIR3.

333 Compared absolutely in terms of geoid heights  $\zeta$ , the calculated RMS for DIR4 at degree 200 (4.5 cm) is slightly  
 334 lower than that of TIM4 (4.7 cm). The absolute (formal) error at degree 200 is officially denoted 1 cm in geoid  
 335 height for DIR4 (HPF, 2013a) and 3.2 cm in geoid height for TIM4 (HPF, 2013b) (our own computations show a  
 336 cumulated geoid error of 1.2 cm and 4 cm for DIR4 and TIM4, respectively). Thus, our calculated RMS values at  
 337 degree 200 exceed both models' formal errors by 3.5 cm and 1.5 cm for DIR4 and TIM4, respectively. However, the  
 338 RMS values from the differences reflect the errors of both involved data sets, the (i) GOCE models and (ii) the  
 339 GRACE / terrestrial data in the AUS-GGM model. Having this in mind and considering that the observed TIM4  
 340 RMS is very close to the RMS error of 4.5 cm, which has been estimated for TIM4 independently from comparisons  
 341 to 675 GPS/levelling observations in Germany at degree 200 (HPF, 2013b) our retrieved RMS for TIM4 over  
 342 Australia seems to be plausible and the TIM4 formal error estimate of 3.2 cm seems to be quite realistic. In the  
 343 case of DIR4, the true error seems to be larger than the (official) formal error of 1 cm at degree 200, given also that  
 344 the geoid RMS of the comparison of DIR4 to the 675 GPS/levelling observations in Germany is at the same level  
 345 as TIM4 (Gruber et al, 2013). However, as indicated by the RMS computed with AUS-GGM, the actual DIR4 error  
 346 is likely to be lower than that of TIM4 at degree 200. In HPF (2013a) independent comparisons to GPS/levelling  
 347 observations in several countries show RMS values ranging between 1.7 cm to 3.3 cm, where DIR4 was taken up  
 348 to d/o 240 and EGM2008 was filled in starting at degree 241 up to d/o 360.

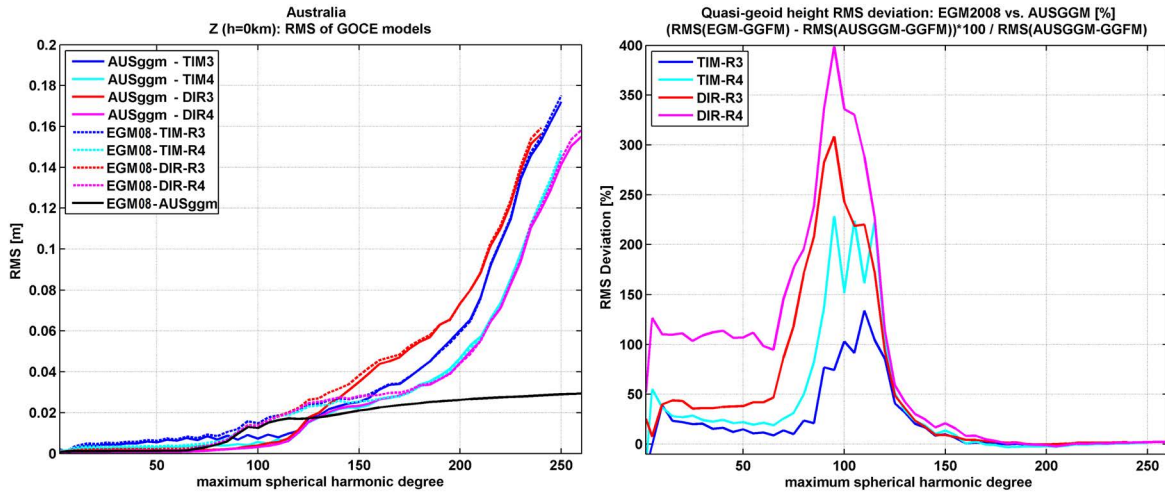
349 Compared absolutely in terms of gravity disturbances ( $T_r$ ), the calculated RMS for DIR4 at degree 200 (1.2 mGal),  
 350 again, is slightly lower than that of TIM4 (1.3 mGal). In the case of DIR4 the formal error of 0.35 mGal at degree  
 351 200 (own computation) still seems comparatively low to the AUS-GGM RMS. In the case of TIM4, with a formal  
 352 error of 0.9 mGal at degree 200 (HPF, 2013b), the RMS seems to be realistic, given that the RMS reflects the  
 353 errors in both data sets.

354 Compared absolutely in terms of the radial gravity gradients ( $T_{rr}$ ), similarly to the other two functionals, the RMS  
 355 for DIR4 at degree 200 (355 mE) is slightly lower than that of TIM4 (374 mE). Only looking at the  $T_{rr}$  formal  
 356 error estimate for TIM4 at degree 200 (approximately 200 mE), the RMS values from our analyses seem very high.  
 357 For TIM4 the formal radial gravity gradient error is exceeded by over 150 mE and it cannot be confirmed by our  
 358 analyses.

359 Looking at the lower wavelength part of the spectrum (below d/o 120), the quasi-geoid heights seem to be most  
 360 sensitive for differences among the models (see bottom row plots in figure 4). Below d/o 120 the TIM3 solution  
 361 shows the highest RMS. It is followed by TIM4, DIR3 and then by DIR4 with the lowest RMS in that spectral  
 362 range. Here, obviously, the DIR models which also contain high accuracy GRACE information in the lower degrees  
 363 agree better with AUS-GGM. Remarkable is the significant improvement of TIM4 w.r.t. TIM3, which are both  
 364 independent from GRACE, in the bands below degree 150. This will find further investigation and consideration in  
 365 section 4.2.

366 The RMS slope around degree 120 has to be attributed to the comparison model AUS-GGM and not to the GOCE  
 367 GGFMs, as this is the spectral range where the terrestrial gravity information (with lower accuracy) supersedes  
 368 GRACE gravity information in AUS-GGM.

370 In comparison to using EGM2008 for the evaluation of GOCE GGFMs over Australia we found that AUS-GGM  
 371 shows significantly lower RMS below d/o 150 (meaning a higher agreement with the GOCE models) and similar



**Fig. 5** RMS values over Australia computed from the differences of selected GOCE GGFM with the newly retrieved AUS-GGM (solid) and EGM2008 (dashed) in terms of quasi-geoid heights in meters [left plot] and the corresponding RMS deviation of EGM2008 w.r.t. AUS-GGM in percent per GOCE GGFM and spherical harmonic degree [right plot]

| Difference     | $\zeta$ [cm] | $T_r$ [mGal] | $T_{rr}$ [E] |
|----------------|--------------|--------------|--------------|
| AUS-GGM - TIM3 | 6.05         | 1.67         | 0.484        |
| AUS-GGM - TIM4 | 4.68         | 1.29         | 0.374        |
| AUS-GGM - DIR3 | 7.34         | 1.99         | 0.569        |
| AUS-GGM - DIR4 | 4.46         | 1.22         | 0.355        |

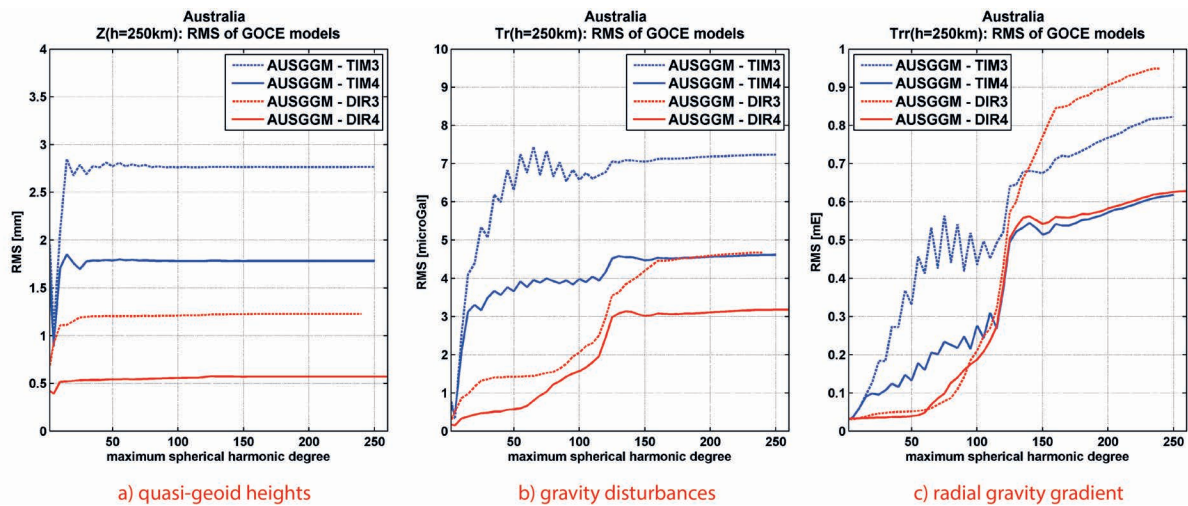
**Table 2** RMS error of GOCE GGFM w.r.t. AUS-GGM at the spatial scale of 100km half wavelength (= degree 200) on the ellipsoid

372 RMS above degree 150. To be more precise, EGM2008 shows lower RMS approximately between degree 160 and  
 373 degree 215 (depending on the functional; maximum discrepancy of 8.8% is found for the radial gravity gradient  
 374 ( $T_{rr}$ ) at degree 160). AUS-GGM shows lower RMS values approximately between degree 215 to degree 260. This is  
 375 shown in figure 5 expressed exemplary in geoid heights (at the ellipsoid). The left plot in figure 5 shows the RMS of  
 376 GGFM over Australia w.r.t. AUS-GGM (similar to figure 4a) in solid lines together with the RMS w.r.t. EGM2008  
 377 in dashed lines. The right plot only shows the differences of the RMS obtained by EGM2008 w.r.t. AUS-GGM  
 378 per spherical-harmonic degree in percent, where positive values indicate a higher discrepancy of EGM2008 to the  
 379 respective GOCE GGFM over Australia. The agreement of AUS-GGM with GOCE GGFM is significantly higher  
 380 below d/o 120. The better performance of AUS-GGM can partly be explained by using ITG-GRACE2010s instead  
 381 of ITG-GRACE03 (the latter was used in the EGM2008 creation (Pavlis et al, 2012)). The weaker performance  
 382 of EGM2008 may also be affiliated with a loss of ITG-GRACE03 information in the model's creation, caused by  
 383 the weighting applied in the combination with terrestrial data, which was detected over poorly surveyed areas by  
 384 Hashemi Farahani et al (2013).

385 At degree 120, we observe a slope in the AUS-GGM produced RMS which comes along with the increasing influence  
 386 of terrestrial gravity information in the comparison model in this degree range. The fact that quite similar results  
 387 are achieved with EGM2008 in the degrees beyond 150 we see as a validation of our approach. Keep in mind that  
 388 the idea of this research to provide methods to produce a GGFM which is regionally completely independent, with  
 389 up-to date and most accurate terrestrial gravity information. Slightly higher discrepancies to GOCE GGFM between  
 390 degree 160 and degree 215 as compared to EGM2008 have to be attributed to errors in the terrestrial gravity data  
 391 set and the CTM (see section 3.2 , 3.3 and 3.4).

#### 392 4.2 Evaluation at GOCE altitude

393 In this section the RMS values over Australia are computed using the same functionals as in the previous section  
 394 with the only difference that, now, gravity functionals are calculated at 250 km altitude above the ellipsoid. With



**Fig. 6** RMS values computed from the differences of selected GOCE GGMs and the newly retrieved AUS-GGM in terms of (a) quasi-geoid heights  $\zeta$  in meters [left], (b) gravity disturbances  $T_r$  in mGal [middle] and (c) radial gravity gradients  $T_{rr}$  [right] 250 km above the ellipsoid ( $h = 250\text{km}$ )

395 the evaluation at GOCE satellite height we demonstrate the attenuation effect and the sensitivity of the functionals  
 396 at different wavelengths. The results from the evaluation at altitude provide interesting insight into fundamental  
 397 principles of spectral physical geodesy and allow for some complementary judgment of the models' performance  
 398 compared to investigations at ground level.

399 In figure 6 the RMS levels at altitude are generally much lower than those on the ellipsoid (see figure 4), which is  
 400 due to the attenuation of gravity signals and errors with altitude. At satellite height, the three gravity functionals  
 401 also show very different features. Starting with the RMS expressed in geoid heights (a), the maximum RMS for each  
 402 model is already reached at about degree 30, where the slope turns into zero. For gravity disturbances (b) the max-  
 403 imum RMS is reached at degree 160 and for the radial gravity gradient (c) the maximum RMS seems to be reached  
 404 near degree 230 (as the slope changes near this spectral band). Those findings allow the following categorization  
 405 concerning the spectral sensitivity of the functionals evaluated at a satellite height of 250 km: quasi-geoid heights  
 406 are most sensitive below degree 30; gravity disturbances are most sensitive below degree 160; gravity gradients are  
 407 most sensitive below degree 230.

408 Both fourth generation models show a lower RMS compared to their respective previous release in all three func-  
 409 tionals. Looking at the lower wavelength part (below d/o 150), we see again that the DIR models are in better  
 410 accordance with AUS-GGM because they contain GRACE information in this domain. Further, the interpretation  
 411 has to be done carefully because the DIR models rely on a different GRACE processing (see section 2) than GRACE  
 412 data in AUS-GGM (see section 3.3) and the RMS reflects errors in both data sets and/or strategies. However,  
 413 in all three functionals a clear improvement of the (pure-GOCE) TIM models in the fourth release in the lower  
 414 wavelength part becomes visible. The three reasons which seem likely to account for this improvement from the  
 415 third to the fourth TIM release are (1) the change from the *energy-integral method* (Badura, 2006) to the *short-arc*  
 416 *method* (Mayer-Gürr et al, 2006) in the GOCE SST processing strategy, (2) the improved L1b-processing in the  
 417 gradiometry (Stummer et al, 2011), and (3) more observations (see table 1). For the other models we can state  
 418 that DIR4 followed by DIR3 show the lowest discrepancies to AUS-GGM below d/o 150. Interestingly, in the gravity  
 419 gradients there is a sudden RMS increase at degree 55 for the DIR4 solution (solid red line in figure 6c), which is  
 420 the spherical harmonic degree where the GRACE-GFZ (release 5) supersedes the GRACE-GRGS (release 2) solution  
 421 in the combination (HPF, 2013a).

422  
 423 Looking at the higher frequency part of the spectrum (beyond degree 150), where AUS-GGM in Australia solely  
 424 consists of terrestrial data, we see that the RMS values in the quasi-geoid heights and gravity disturbances are  
 425 at almost constant level and biased mainly due to the differences in the lower frequency part of the spectrum (as  
 426 stated above the RMS is cumulative, see section 3.5). Those functionals do hardly (gravity disturbances) or not at

| Difference     | $\zeta$<br>[mm] | $T_r$<br>[microGal] | $T_{rr}$<br>[mE] |
|----------------|-----------------|---------------------|------------------|
| AUS-GGM - TIM3 | 2.77            | 7.18                | 0.768            |
| AUS-GGM - TIM4 | 1.78            | 4.56                | 0.572            |
| AUS-GGM - DIR3 | 1.23            | 4.59                | 0.905            |
| AUS-GGM - DIR4 | 0.57            | 3.11                | 0.582            |

**Table 3** RMS error of GOCE GGFMs w.r.t. AUS-GGM at the spatial scale of 100km half wavelength (= degree 200) at GOCE altitude ( $h=250\text{km}$ )

all (height anomalies) show sensitivity in the spectral domain above  $d/o$  150. The only functional at GOCE altitude that sufficiently allows for discrimination of the GGM performance at shorter scales are gravity gradients. This sensitivity shown for  $T_{rr}$  at GOCE altitude is the very reason for applying gravity gradiometry on-board of GOCE satellite. From the slope of the gravity gradients (beyond degree 150) DIR4 and TIM4 are comparable (same RMS increase per degree) and better (lower RMS increase per degree) than their predecessors. Expressed numerically (calculated from gravity disturbance RMS values retrieved at degree 200 (see table 3)) the relative improvement by the fourth release models at GOCE altitude is 32 % and 36.5 % for the DIR- and TIM-approach, respectively. The relative improvement based on the radial gravity gradient RMS at  $d/o$  200 is 36 % by DIR4 and 25 % by TIM4. Interestingly, in terms of the radial gravity gradient at GOCE altitude, TIM4 for the first time shows a lower RMS than DIR4 in the spectral range between degree 130 and degree 250.

The estimated formal error in the radial gravity gradient component  $T_{rr}$  at GOCE altitude at degree 200 is around 0.4 mE and 0.35 mE for TIM3 and TIM4, respectively. Those values are exceeded by the calculated AUS-GGM RMS by 0.36 mE and 0.2 mE (c.f. table 3), respectively.

#### 4.3 Discussion on the linkage between the RMS and the Meissl scheme

The Meissl scheme (Rummel and van Gelderen, 1995) establishes the relations between the disturbing potential  $T$ , its first radial derivative  $T_r$ , and its second radial derivative  $T_{rr}$  at ground level  $R$  and at altitude ( $R + h$ ) by means of eigenvalues in the spectral domain. It is, e.g., useful in order to evaluate the design of future gravity missions. Likewise, it can be used to explain the spectral behavior of the RMS of the three functionals on ground level and at satellite height (see figures 4 and 6), because it is guide for the spectral characteristics of physical geodesy. The main reason for its applicability to RMS values is, that it does not only apply to the gravity signal, but also to the associated error of derived gravity quantities.

Our evaluations demonstrate different spectral sensitivity in the RMS relying on different functionals. We can categorize the functionals evaluated at a satellite height of 250 km regarding their sensitivity in the following way: quasi-geoid heights are most sensitive below degree 30; gravity disturbances are most sensitive below degree 160; gravity gradients are most sensitive below degree 230. This is due to the fact, that the higher part of the spectrum is amplified from the "smoother" to the "rougher" gravity functionals (from left to right in figures 4 and 6). This categorization can not be observed for the RMS values at ground level in the same way. However, quasi-geoid heights are the most sensitive functional in the spectral bands below  $d/o$  50 on the ellipsoid. Further, we find the RMS values at altitude to be smaller, which is due to the increasing attenuation of the signal (and of the error) with increasing distance from the attracting body.

All those features are explained by the Meissl scheme in terms of the eigenvalues (when the spherical harmonics are regarded as a set of eigenfunctions). Those eigenvalues we find one-by-one embedded in the SHS algorithms used to expand the spherical harmonic coefficients to the grids which form the basis for the RMS calculation.

## 5 Conclusions

We evaluated the third- and fourth-generation ESA GOCE GGFMs in spherical harmonics and placed focus on a comparison of our evaluation results with the GOCE models' formal errors. The need for an evaluation stems

463 from differences in the processing strategies and in the amount of GOCE data effectively being used in the latest  
464 models (DIR3, TIM3 : 12 months; TIM4 : 26.5 months; DIR4 : 27.9 months). We created a spherical harmonic set of  
465 coefficients of the disturbing potential which served as an independent reference for the evaluation of GOCE-GGFMs  
466 over the landmass of Australia. We made use of the coefficient transformation method, a previously little used but  
467 suitable SHA procedure to transform high-frequency terrestrial gravity data into spectral domain. As a result we  
468 obtain the comparison model AUS-GGM which allows the detection of improvements between the GOCE model  
469 releases and, under considerations of its inherent features and errors, can be used to make absolute error estimates.  
470 AUS-GGM proves to have significantly higher accuracy in the degrees below 120 as compared to EGM2008 and  
471 seems to be at least comparable to the accuracy of this model between degree 150 and degree 260. Based on RMS  
472 values of three different gravity functionals computed from residual gravity in Australia, we can see a significant  
473 improvement of the fourth w.r.t. the third-generation GOCE models. At the ellipsoid, TIM4 and DIR4 are found  
474 to show similar RMS values in the high frequency part of the spectrum (beyond degree 120), with the latter  
475 performing marginally better between degree 170 to degree 250 which might be linked to one additional month of  
476 GOCE gradiometer observations. Relatively, the improvement is about 23 % within the TIM approach and about  
477 39 % within the DIR approach at a spatial scale of 100 km (at degree 200). At this resolution the models' official  
478 formal error expectations in terms of geoid heights is largely confirmed for TIM4 (3.2 cm), bearing in mind that the  
479 comparison data (AUS-GGM) is not free of error. The official DIR4 error estimate of 1 cm (HPF, 2013a) cannot  
480 be confirmed, but the error seems to be lower than that of TIM4. In terms of gravity disturbances our RMS of 1.3  
481 mGal for TIM4 (1.2 mGal for DIR4) at degree 200 indicates that also the respective TIM4 error estimate of 0.9  
482 mGal is quite realistic. Our results can hardly affirm the formal cumulative error of 0.35 mGal (own calculation) of  
483 DIR4 at degree 200, even when considering that AUS-GGM is not without errors at those spatial scales.  
484 With the Meissl scheme in hand signal attenuation and spectral sensitivity of the different functionals at different  
485 altitude can be explained and the RMS at the 6 different domains of the Meissl scheme help to get a more complete  
486 insight into the composition and features of the models. For example, gravity disturbances at satellite altitude  
487 clearly demonstrate the improvements of DIR4 and TIM4 in the spectral domain below 150, as compared to the  
488 release 3 models. The improvements generally result from a longer period of GOCE observations and changes in the  
489 processing strategy of both models. In the fourth DIR release, now, the second CNES/GRGS GRACE solution only  
490 finds application in the very low degrees (up to  $d/o$  54) and is then superseded by the fifth GFZ GRACE solution.  
491 Additionally, the GRACE solutions within DIR4 are based on more data equivalent to 2.5 years of observations. In  
492 the fourth TIM release the change from the energy integral approach to the short-arc integral method in the SST  
493 processing explains a large part of the improvement in the long wavelength part of the spectrum. Further, both,  
494 TIM4 and DIR4 benefit from a new L1b-processing procedure for GOCE gradients.  
495 From our evaluations we conclude that with the fourth-generation GOCE models a better knowledge of the Earth's  
496 gravity field in poorly surveyed areas (e.g. parts of South America, Africa, and Asia) at spatial scales of 80 km up  
497 to 120 km is to be expected.

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